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PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	39672.0200
	First Inventor	Stamm et al.
	Title	SYSTEM AND METHOD FOR FACILITATING EARLY
	Express Mail Label No.	EL426887853US

APPLICATION ELEMENTS	ADDRESS TO:
See MPEP chapter 600 concerning utility patent application contents.	Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Pages 55] (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive title of the invention- Cross Reference to Related Applications- Statement Regarding Fed sponsored R & D- Reference to sequence listing, a table, or a computer program listing appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF)
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 10]	b. Specification Sequence Listing on: <ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> paper
5. Oath or Declaration [Total Pages 3] <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	c. <input type="checkbox"/> Statements verifying identity of above copies
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

ACCOMPANYING APPLICATION PARTS	
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
10. <input checked="" type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)	<input type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document (if applicable)	
12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input checked="" type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment	
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
17. <input type="checkbox"/> Other.	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____ Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

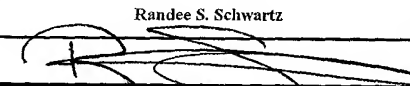
19. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		20322		or <input type="checkbox"/> Correspondence address below	
(Insert Customer No. or Attach bar code label here)					
Name	Rande S. Schwartz, Esq.				
	Snell & Wilmer, LLP				
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	400 E. Van Buren Street				
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Name (Print/Type)	Rande S. Schwartz	Registration No. (Attorney/Agent)	45,085
Signature		Date	December 21, 2001

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FEE TRANSMITTAL for FY 2001		Complete if Known	
		Application Number	t/b/a
<i>Patent fees are subject to annual revision.</i>		Filing Date	December 21, 2001
		First Named Inventor	Stamm et al.
		Examiner Name	t/b/a
		Group Art Unit	t/b/a
		Attorney Docket No.	39672.0200
TOTAL AMOUNT OF PAYMENT		\$410.00	

METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES	
Deposit Account Number 19-2814		Large Entity Small Entity	
Deposit Account Name Snell & Wilmer, LLP		Fee Code Fee (\$)	
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		Fee Description	
<input type="checkbox"/> Applicant claims small entity status See 37 CFR § 1.27		Fee Paid	
2. <input checked="" type="checkbox"/> Payment Enclosed:		105 130 205 65 Surcharge - late filing fee or oath	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
FEE CALCULATION		139 130 139 130 Non - English specification	
1. BASIC FILING FEE		147 2,520 147 2,520 For filing a request for ex parte reexamination	
Large Entity Small Entity		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
Fee Code Fee (\$)		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
Fee Description		115 110 215 55 Extension for reply within first month	
Fee Paid		116 390 216 195 Extension for reply within second month	
101 710 201 355 Utility filing fee 370.00		117 890 217 445 Extension for reply within third month	
106 320 206 160 Design filing fee		118 1,390 218 695 Extension for reply within fourth month	
107 490 207 245 Plant filing fee		128 1,890 228 945 Extension for reply within fifth month	
108 710 208 355 Reissue filing fee		119 310 219 155 Notice of Appeal	
114 150 214 75 Provisional filing fee		120 310 220 155 Filing a brief in support of an appeal	
SUBTOTAL (1) 370.00		121 270 221 135 Request for oral hearing	
2. EXTRA CLAIM FEES		138 1,510 138 1,510 Petition to institute a public use proceeding	
Total Claims 20 -20** = 0 X 9.00 = 0.00		140 110 240 55 Petition to revive - unavoidable	
Independent Claims 3 -3** = 0 X 40.00 = 0.00		141 1,240 241 620 Petition to revive - unintentional	
Multiple Dependent		142 1,240 242 620 Utility issue fee (or reissue)	
Large Entity Small Entity		143 440 243 220 Design issue fee	
Fee Code Fee (\$)		144 600 244 300 Plant issue fee	
Fee Description		122 130 122 130 Petitions to the Commissioner	
103 18 203 9 Claims in excess of 20		123 50 123 50 Processing fee under 37 CFR § 1.17(q)	
102 80 202 40 Independent claims in excess of 3		126 180 126 180 Submission of Information Disclosure Statement	
104 270 204 135 Multiple dependent claim, if not paid		581 40 581 40 Recording each patent assignment per property (times number of properties) 40.00	
109 80 209 40 ** Reissue independent claims over original patent		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
SUBTOTAL (2) 0.00		179 710 279 355 Request for Continued Examination (RCE)	
**or number previously paid, if greater; For Reissues, see above		169 900 169 900 Request for expedited examination of a design application	
		Other fee (specify)	
		SUBTOTAL (3) 40.00	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Randee S. Schwartz	Registration No. (Attorney/Agent)	45,085
Signature		Telephone	(602) 382-6332
		Date	December 21, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant: Stamm et al.

Application No.: t/b/a Filed: December 21, 2001

Entitled: SYSTEM AND METHOD FOR FACILITATING EARLY CHILDHOOD BRAIN DEVELOPMENT

Jill Stamm, a n Individual

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest, or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
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Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

December 21, 2001

Date


Signature

Jill Stamm

Typed or printed name

Individual

Title